

FIS920030350US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Chen et al.

Serial No.: Not Yet Assigned

Group Art Unit: Unknown

Filing Date: Concurrently Herewith

Examiner: Unknown

For: A METHOD OF PREVENTING SURFACE ROUGHENING DURING
HYDROGEN PREBAKE OF SIGE SUBSTRATES USING CHLORINE
CONTAINING GASES

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

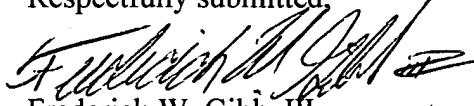
Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0458.

Respectfully submitted,


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11/21/04

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) FIS920030350US1 | | Application Number Not Yet Assigned | |
|--|-----------------|--|-------------------|--|----------|---|----------------------------|
| | | | | Applicant(s) Chen et al. | | | |
| | | | | Filing Date Concurrently Herewith | | Group Art Unit Unknown | |
| | | | | U.S. PATENT DOCUMENTS | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | | 6,251,751 | 06/26/2001 | Chu et al. | | | |
| | | 6,375,749 | 04/23/2002 | Boydston et al. | | | |
| | | 6,348,420 | 02/19/2002 | Raaijmakers et al. | | | |
| | | 6,444,591 | 09/03/2002 | Schuegraf et al. | | | |
| | | 5,397,738 | 03/14/95 | Takagi | | | |
| | | 6,106,613 | 08/22/00 | Sato et al. | | | |
| | | 2002/00986627 | 07/25/02 | Pomaredo et al. | | | |
| | | 2003/0036268 | 02/20/03 | Brabant et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | | "Dedicated Pre-Clean Reduces Epi's Thermal Budget", Arkadii V. Samoilov, Dale Du Bois, Paul B. Comita & David Carlson, Applied Materials Inc., Santa Clara, CA, November 1, 2000, 6 pages http://www.e-insite.net/semiconductor/index.asp?layout=articlePri... | | | | | |
| | | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |